personal financial planning

Unit 6, Whiteside
Station Road
Holmes Chapel
Cheshire, CW4 8AA

Tel: 01270 759 786
E: enquiries@pfp.co.uk

CURRICULUM VITAE

David Richard Thomas Winward

Education and Professional Qualifications:

1995 – 2000	Hartford High School
2008	Certificate in Financial Planning
2009	J01 Personal Taxation
2010	J06 Investment Principles, Markets and Environments
	Diploma in Financial Planning:
2011	R04 Pensions and Retirement Planning
2012	R06 Financial Planning Practice
2016	R08 Pensions Update
	Advanced Diploma in Financial Planning:
2017	AF5 Financial Planning Process

Authorised to provide independent financial advice: FSA reference DRW01147.

Employment Details:

Company:	**Personal Financial Planning Limited**
Position:	Consultant
Period:	November 2018 to date

Company:	**Personal Financial Planning Limited**
Position:	Trainee Consultant
Period:	July 2017 to November 2018

Company:	**Close Brothers Asset Management**
Position:	Financial Planner
Period:	February 2008 to July 2017

Company:	**Close Brothers Asset Management**
Position:	Personal Assistant
Period:	July 2005 to February 2008

Relevant Experience:

- Providing a holistic financial planning service focusing on investments, protection and pensions to clients with a wide range of financial circumstances and backgrounds.
- Providing an excellent level of customer service to clients, over the telephone and face to face, to determine their holistic financial planning requirements.
- Completing fact find and risk profile questionnaires over the telephone to collate clients' financial circumstances, risk profile and investment objectives, prior to composing suitability reports and supporting documents.
- Continual Professional Development entailing a minimum of 35 hours of logged CPD-related knowledge, skills and training annually.
- Shortlisted for Adviser of the Year in 2015 as part of the Close Brothers Asset Management recognition scheme.
- Since joining PFP, Richard has embedded the processes necessary to specialise in providing Independent Financial Advice to recipients of Personal Injury awards.

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Standards, Professionalism, Trust.

Statement of Professional Standing

Mr David Winward

FCA Individual Reference Number:

DRW01147

People must either be approved by the Financial Conduct Authority (FCA) or be certified by their employer before giving financial advice. You can check if this person is approved by the FCA to give advice, or if they are certified by their employer to give advice, by going to **register.fca.org.uk/s/** and searching with their individual reference number as shown above.

The Chartered Insurance Institute (CII) has issued this statement to the above named adviser. The CII has checked that the adviser meets the required qualification standard and confirms the adviser has signed an annual declaration stating that they have kept their knowledge up to date and complied with the FCA Code of Conduct.

Date of issue:	Valid until:
1 May 2025	30 April 2026

Matthew Hill
Chief Executive, CII Group

The Chartered Insurance Institute (CII) is the premier professional body for the insurance and financial planning sector with over 120,000 members in more than 150 countries. It promotes higher standards of integrity, technical competence and business capability. The person named above is a member of the CII and is bound by a Code of Ethics as a condition of membership. For more information, visit cii.co.uk/membersearch

CII Permanent Identity Number 001465588D